Administrative Office of the U.S. Courts

Department of Program Services / Case Management Systems Office

CM/ECF

Case Upload File Specifications

Bankruptcy Release 5.2.3 and 5.3.2/Next Gen 1.3.3 and 1.5.1

Effective April 7, 2020

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CM/ECF Case Upload File Specifications

Bankruptcy Releases 5.2.3/5.3.2 and

NextGen 1.3.3 and 1.5.1
(Changes are shown in **red**)

NOTE: Per the March 2020 CARES Act, for Chapter 11 Non-Individual cases (Official Form 201), if the debtor is a small business as defined in 11 U.S.C. § 101(51D) OR if the debtor is a debtor as defined in 11 U.S.C. § 1182(1) and the aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than $2,725,625, field 22 in the Debtor .txt file should be 'y', otherwise, field 22 should be blank.

Field #	Field Name	Required	Field Length	Permitted values
Statistics Record				
1	**Record type**	y	4	stat
2	**Company name**		N/A	(ignored, vendor software)
3	**Version**		N/A	(ignored, vendor software)
4	**Date filed**		N/A	(ignored - uses the current date)
5	**Form of organization**	y	1	(Type of debtor) code i = individual c = corporation p = partnership o = other
6	**Nature of Business**		2	When "Type of debtor" is "Individual", one value (h, r, s, b, or o) is allowed (tax-exempt entity not allowed). When "Type of debtor" is NOT "Individual", Nature of Business is required. Two values are permitted if one of them is "n" (tax-exempt entity). x = railroad h = healthcare r = single asset real estate s = stockbroker b = commodity broker l = clearing bank n = tax-exempt entity o = ~~other~~ none of the above Note: For Chapter 15 cases, value must be blank.
7	**Joint petition**		N/A	(ignored: Internally, this is determined by the number of debtors listed.)
8	**Chapter**	y	2	7, 9, 11, 12, 13, 15 Note: 7 and 11 are the only valid chapters for an involuntary case

9	**Fee status**	y	1	i = installment p = paid in full w = in forma pauperis Note: "w" is only valid for voluntary Chapter 7 cases.
10	**Nature of debt**	y	1	b = business c = consumer o = other Note: For Chapter 9 and Chapter 12 cases, value must be "b". For Chapter 15 cases, value must be blank. For Involuntary cases, value cannot be "o". For all other chapters, value can be any valid value if type of debtor =" i".
11	**(In)voluntary**	y	1	i = involuntary v = voluntary
12	**Reopened**		0	(not used - leave blank)
13	**Asset notice**	y for a voluntary case	1	For involuntary cases, this field can be blank. y, n, u (If Chapter 7, ignored and set to 'n'. If Chapter 11, 12, or 13, ignored and set to "y".) Note: For Chapter 15 cases, value must be blank.
14	**# of creditors**	y for a voluntary case	1	For involuntary cases, this field can be blank. A = 1 - 49 creditors B = 50 - 99 creditors C = 100 - 199 creditors D = 200 - 999 creditors E = 1,000 - 5,000 creditors F = 5,001 - 10,000 creditors G = 10,001 - 25,000 creditors H = 25,001 - 50,000 creditors I = 50,001 - 100,000 creditors J = ~~Over~~ More than 100,000 creditors Note: For Chapter 15 cases, value must be blank.
15	**Estimated assets**	y for a voluntary case	1	For involuntary cases, this field can be blank. A = $0 to $50,000 B = $50,001 to $100,000 C = $100,001 to $500,000 D = $500,001 to $1 million E = $1,000,001 to $10 million F = $10,000,001 to $50 million G = $50,000,001 to $100 million H = $100,000,001 to $500 million I = $500,000,001 to $1 billion J = ~~More than $1 billion~~ **retired** K = $1,000,000,001-$10 billion L = $10,000,000,001-$50 billion M = More than $50 billion Note: For Chapter 15 cases, value must be blank.

16	**Estimated liabilities**	y for a voluntary case	1	For involuntary cases, this field can be blank A = $0 to $50,000 B = $50,001 to $100,000 C = $100,001 to $500,000 D = $500,001 to $1 million E = $1,000,001 to $10 million F = $10,000,001 to $50 million G = $50,000,001 to $100 million H = $100,000,001 to $500 million I = $500,000,001 to $1 billion ~~J = More than $1 billion retired~~ K = $1,000,000,001-$10 billion L = $10,000,000,001-$50 billion M = More than $50 billion Note: For Chapter 15 cases, value must be blank.
17	**Small business**	y	1	y, n (Chapter 11 business only)
18	**Section 1121**		1	(currently ignored)
19	**Missing Schedules** (reserved for future use)		3	List the letter of the schedules which are missing: AB, C, D, EF, G, H, I, J or AJ = all schedules AB - J FA = Statement of Financial Affairs SS = Summary of Your Assets and Liabilities and Certain Statistical Information ~~SS2 = Form 6 pg 2, Statistical Summary of Certain Liabilities~~
20	**Certification** (reserved for future use)		1	y = "received approved counseling" box is checked on petition w = "request a waiver" box is checked on petition u = neither box is checked on petition
21	**Presumption**	y	1	y, n Specify 'n' when the presumption is temporarily inapplicable For joint cases, specify 'y' when the presumption applies for either debtor, otherwise specify 'n' Note: from Statement of Current Monthly Income and Means Test Calculation (Chapter 7 voluntary individual cases only)
22	**Liquidated Debts**		1	"y" if the debtor is a small business debtor as defined in 11 U.S.C. § 101(51D), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than $2,725,625 OR If the debtor is a debtor as defined in 11 U.S.C. § 1182(1), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than $2,725,625 (Official Form 201 – Chapter 11 Cases Only)
23	**Main / Non-Main**		1	Null, m ~~or~~ n, or a; default is m (Only valid for Chapter 15 cases)

24	Prior filing within last 8 years	y for voluntary case	1	For involuntary cases, this field can be blank. y or n. If either debtor in a joint filing has prior case, report "y". For Chapter 15 cases, value must be blank.
25	Schedule A/B, Total Real Estate/Property		15	Null or 0.00 to 999999999999.99 (in decimal notation to two places)
26	Schedule A/B, Total Personal Property		15	Null or 0.00 to 999999999999.99
27	Schedule D, Total Secured Claims		15	Null or 0.00 to 999999999999.99
28	Schedule E/F, Total Priority Unsecured Claims		15	Null or 0.00 to 999999999999.99
29	Schedule E/F, Total NonPriority Unsecured Claims		15	Null or 0.00 to 999999999999.99
30	Schedule I, Monthly Income		15	Null or 0.00 to 999999999999.99
31	Schedule J, Monthly Expenses		15	Null or 0.00 to 999999999999.99
32	Current Monthly Income (Official Form 122A-1, 122B, or 122C-1)		15	Null or 0.00 to 999999999999.99
33	Total Nondischargeable Debt (Official Form 106Sum, 9g)		15	Null or 0.00 to 999999999999.99
34	Prepackaged		1	Y = "If business debt, is a plan filed?" is checked P = "Acceptances of the plan prior to the petition filing under section 1126(b)" is checked B = both above are checked Null = neither above is checked (Form 201 - Chapter 11 cases only)
35	Total value of claimed exemptions (From Schedule C)		15	**Note that there is no total field on the form.** Null or 0.00 to 999999999999.99
36	Monthly gross wages, salary, and commissions for DEBTOR (Form B106I, Line 2)		15	Null or 0.00 to 999999999999.99
37	Monthly gross wages, salary, and commissions for SPOUSE (Form B106I, Line 2)		15	Null or 0.00 to 999999999999.99
38	Monthly Payroll Deduction DEBTOR (Form B106I, Line 6)		15	Null or 0.00 to 999999999999.99
39	Monthly Payroll Deduction SPOUSE (Form B106I, Line 6)		15	Null or 0.00 to 999999999999.99
40	Monthly net income (Form B106J, Line 23C)		15	Null or -999999999999.99 to 999999999999.99
41	Veteran's Declaration (Form B122A-1Supp, Line 2)		1	"y" if "Disabled Veteran" is checked, otherwise blank
42	Declaration of non-consumer debts (Form B122A-1Supp, Line 1)		1	"y" if "Declaration of non-consumer debts" is checked, otherwise blank

43	**Marital/filing status** (Form B122A-1, Line 1)		1	a = unmarried b = married, not filing jointly, with declaration of separate households c = married not filing jointly, without the declaration of separate households d = married, filing jointly Null = none of the above is selected
44	**Total Current Monthly Income Debtor** (Form B122A-1, Line 11)		15	Null or 0.00 to 999999999999.99
45	**Total Current Monthly Income Spouse** (Form B122A-1, Line 11)		15	Null or 0.00 to 999999999999.99
46	**Applicable Median Family Income** (Form B122A-1, Line 13)		15	Null or 0.00 to 999999999999.99
47	**Debtor's household size** (Form B122A-1, Line 13)		2	Null or integer under 100
48	**Adjusted Current Monthly Income** (Form B122A-2, Line 4)		15	Null or 0.00 to 999999999999.99
49	**National Standards: food, clothing and other items** (Form B122A-2, Line 6)		15	Null or 0.00 to 999999999999.99
50	**National Standards: health care for persons under 65** (Form B122A-2, Line 7c)		15	Null or 0.00 to 999999999999.99
51	**National Standards: health care for persons 65 years of age or older** (Form B122A-2, Line 7f)		15	Null or 0.00 to 999999999999.99
52	**Local Standards: Housing and Utilities; non-mortgage expenses** (Form B122A-2, Line 8)		15	Null or 0.00 to 999999999999.99
53	**Local Standards: Housing and Utilities; net mortgage/rent expenses** (Form B122A-2, Line 9c)		15	Null or 0.00 to 999999999999.99
54	~~**Local Standards: Transportation; vehicle operation/public transportation expense - number of vehicles for which debtor pays an operating expense**~~ ~~(Form B22A, Line 22A)~~ **Obsolete**		~~1~~	**NA**
55	**Local Standards: Transportation; vehicle operation/public transportation expense** (Form B122A-2, Line 12 or Form B122A-2, Line 14)		15	Null or 0.00 to 999999999999.99

56	**Local Standards: additional public transportation expense** (Form B122A-2, Line 15)		15	Null or 0.00 to 999999999999.99
57	**Local Standards: Local transportation expenses; number of vehicles for which debtor claims an ownership or operating expense** (Form B122A-2, Line 11)		1	Null or 0,1,2 (signifying 2 or more)
58	**Local Standards: Net Vehicle 1 ownership or lease expense** (Form B122A-2, Line 13C)		15	Null or 0.00 to 999999999999.99
59	**Local Standards: Net Vehicle 2 ownership or lease expense** (Form B122A-2, Line 13f)		15	Null or 0.00 to 999999999999.99
60	**Expenses allowed under the IRS expense allowances** (Form B122A-2, Line 24)		15	Null or 0.00 to 999999999999.99
61	**Education Expenses for Dependent Children** (Form B122A-2, Line 29)		15	Null or 0.00 to 999999999999.99
62	**Additional Food and Clothing Expense** (Form B122A-2, Line 30)		15	Null or 0.00 to 999999999999.99
63	**Total Additional Expense Deductions** (Form B122A-2, Line 32)		15	Null or 0.00 to 999999999999.99
64	**Total Deductions for Debt Payment** (Form B122A-2, Line 37)		15	Null or 0.00 to 999999999999.99
65	**Total of all deductions allowed** (Form B122A-2, Line 38)		15	Null or 0.00 to 999999999999.99
66	**Monthly disposable income** (Form B122A-2, Line 39c)		15	Null or -999999999999.99 to 999999999999.99
67	**60-month disposable income** (Form B122A-2, Line 39d)		15	Null or -999999999999.99 to 999999999999.99
68	**Initial Presumption Determination** (Form B122A-2, Line 40)		1	n = presumption does not apply y = presumption applies u = unknown Null = none of the above is selected
69	**Total Non-priority Unsecured Debt** (Form B122A-2, Line 41a)		15	Null or 0.00 to 999999999999.99
70	**25% of Total Non-priority Unsecured Debt** (Form B122A-2, Line 41b)		15	Null or 0.00 to 999999999999.99

71	Secondary Presumption Determination (Form B122A-2, Line 42)		1	n = presumption does not apply y = presumption applies Null = none of the above is selected
72	Related case number		30	Previously filed bankruptcy case that is related to the case currently being filed. Must be a valid case number in the current court. Format: YY-#####. Example: 11-12345
73	Office code of the related case		1	The code for the court divisional office where related case was filed (from "office" codes table entries). Required to uniquely identify the related case number in field 72.
74	Investment company		1	If the debtor is a non-individual, specify if debtor's business is an investment company, including hedge fund or pooled investment vehicle. y = yes Null
75	Investment advisor		1	If the debtor is a non-individual, specify if debtor's business is an investment advisor. y = yes Null
76	NAICS code		4	If the debtor is a non-individual, specify the NAICS (North American Industry Classification System) 4-digit code (from "NAICS" codes table) that best describes debtor.
77	Required to file periodic reports		1	If the debtor is a non-individual and filing a chapter 11, specify if the debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission y = yes Null
78	Shell company		1	If the debtor is a non-individual and filing a chapter 11, specify if the debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2. y = yes Null
79	Relief in Plan		4	Field 79 may contain one or more of the following four letters: 'V', 'L', 'S', 'A'. Do not separate the letters with a comma or semicolon. 'V'= A plan will be filed during case upload and a request for valuation of security, payment of fully secured claims, and modification of undersecured claims was included in the plan. 'L'= A plan will be filed during case upload and a request for lien avoidance was included in the plan. 'S'= A plan will be filed during case upload and a request that the stay under 11 U.S.C. § 362(a) be terminated as to surrendered collateral was included in the plan. 'A' = A plan will be filed during case upload and a

				request for assumption of executory contracts and unexpired leases was included in the plan.
80	Case subchapter		1	"V" = case is filed under Chapter 11, Subchapter V; otherwise blank. (Chapter 11 small business cases only)
Debtor Record				
1	Record type	y	4	Debt
2	Party role	y	3	Use "db" for the first record and "jdb" for the second record. Internally, the party role will be set to "db" for the first record, and the value of JointDebtorRole or "jdb" for the second record. See party role in the alias record.
3	First name	**	20	If this is required, warning will be given when value is blank; If field 5 (Form of organization) is not equal to i (individual), then a blank value will be accepted.
4	Middle name		25	
5	Last name	**	200	
6	Title		20	
7	Generation		5	

8	SSN/ITIN	**	11	Must be in nnn-nn-nnnn format. If configured by the court to be required, warning will be given when value is blank; If field 5 (Form of organization) is not "I" (individual), then a blank value will be accepted. Will accept a comma-delimited string of up to five values in release 5.1.
9	Tax ID/EIN	**	15	If configured by the court to be required, warning will be given when value is blank; If field 5 (Form of organization) is equal to i (individual), then a blank value will be accepted. Will accept a comma-delimited string of up to five values in release 5.1.
10	Office code	y	1	The code for the court's divisional office (from "office" codes table entries)
11	Address 1	**	40	
12	Address 2		40	
13	Address 3		40	
14	City	**	24	
15	State	**	2	
16	Zip	**	15	
17	County	y	5	The county code (from "county" codes table entries)
18	Country		40	
19	Phone number		25	
20	Pro Se		NA	(ignored)
21	Party text		255	
Alias Record (one per alias)				
1	Record type	y	4	Value must be "alas" (not "alias").
2	Party role	y	3	Any value that matches the party role field of one of the debtor records.
3	Alias type	y	6	The code for the type of alias (from "akatype" codes table entries)
4	First name		20	
5	Middle name		25	
6	Last name	**	200	
7	Title		20	
8	Generation		5	

NOTE: ** means that the court CM/ECF configuration determines if these fields are required.

NOTE: Release 3.1.6 checks the allowable field lengths against the data provided in all three record types. If the length of any field is not less than or equal to the allowable value, then this error message is displayed:

The following required fields from the [Stat/Debt/Alias] record are too long: [list of field names]

NOTE: Release 3.2 (and above) checks the number of fields in the Statistics Record. The proper number of fields for Release 3.2 is 34; for Release 3.3, it is 71. Release 3.3 will accept files with 34 fields or 71 fields. Release 5.1 will accept files with 34, 71, or 73 fields. If the number of fields is not equal to 34 or 71 or 73, this error message is displayed:

The statistics record (type "stat") in the case information file (Debtor.txt) does not have the correct number of data items. Be sure you are using the correct Case Upload specification for this court.

NOTE: In Release 5.1, CM/ECF will accept multiple Social Security numbers and Tax ID numbers. Case Upload will accept a comma-delimited string of more than one value for each of these fields (debtor line fields 8 and 9).

NOTE: In Release 5.1.1 and NextGen 1.1.1, added five new fields 74-78. Release 5.1.1 and 1.1.1 will accept files with 73 or 78 fields.

NOTE: In Release 5.2.3/5.3.2 and NextGen 1.3.3/1.5.1, added one new field 80. These releases will accept files with 73, 78, or 80 fields.

Sample Data Records

Statistics Record
```
stat|none|version|08/14/2017|i||y|7|p|c|v||y|B|A|C|n|||y|n|||n|233.23|169.48|342675.25|125.
22|125.25|125.25|125.25|125.25|543873.34||110.00|111.00|112.00|113.00|114.00|34442342.2|y||
b|116.00|117.00|118.00|10|119.00|120.22|100|0|122.00|234.32|0|124.00|125.00||126.00|127.00|
128.00|129.00|130.00|131.00|132.00|133.00|234|234|n|136.00|137.00|y|||||||||
```

Debtor Record (one record per debtor)
```
debt|db|James|William|Common|||999-92-9995||4|602 S. Raspberry
Street|||Escondido|CA|92025|06073|||n||
```

Debtor Record (one record per debtor)
```
debt|jdb|Julia|Alltoo|Common|||999-55-9996||4|602 S. Raspberry
Street|||Escondido|CA|92025|06073|||n||
```

Alias Record (one per alias)
```
alas|jdb|aka|Julia|Anne|Alltoo|||
```

Alias Record (one per alias)
```
alas|jdb|fka|Julia|A.|Something|||
```