

CMECF Bankruptcy Release 3.1.6
Case Upload File Definition Updated, with Sample Records

10/5/07

Changes for 3.1.6 are shown in **Red**

Field #	Field Name	Required	Field Length	Permitted values
Statistics Record				
1	Record type	y	4	stat
2	Company name		N/A	(ignored, vendor software)
3	Version		N/A	(ignored, vendor software)
4	Date filed		N/A	(ignored - uses the current date)
5	Form of organization	y	1	(Type of debtor) 1 character from i, c, p, o where: i : individual c : corporation p : partnership o : other
6	Nature of Business		2	One option x, h, r, s, b, l, or o is required when Nature of Debt field is equal to b. Allow selection of two values as long as one of the selections is Tax-Exempt Entity. x : railroad h : healthcare r : single asset real estate s : stockbroker b : commodity broker l : clearing bank n : Tax-Exempt Entity o : other
7	Joint petition		N/A	(ignored: Internally, this is determined by the number of debtors listed.)
8	Chapter	y	2	7, 9, 11, 12, 13, 15 note: 7, 11 are the only valid chapters for an involuntary case
9	Fee status	y	1	i, p, w (installment, pay in full, and in forma pauperis) Note: w is only valid for voluntary Chapter 7 cases
10	Nature of debtor	y	1	b, c (business, consumer) Note: For Chapter 9 and Chapter 12 cases, the only valid choice is "b". For all other chapters, a "b" is valid, c is only valid if debtor type = "i".

11	(In)voluntary	y	1	i, v
12	Reopened		0	(not used at this time - leave this blank)
13	Asset notice	y	1	y, n, u (If Chapter 7, ignored and set to 'n'. If Chapter 11, 12, or 13, ignored and set to 'y'.)
14	# of creditors	y	1	Valid values are:
				A = 1 - 49 creditors
				B = 50 - 99 creditors
				C = 100 - 199 creditors
				D = 200 - 999 creditors
				E = 1,000 - 5,000 creditors
				F = 5,001 - 10,000 creditors
				G = 10,001 - 25,000 creditors
				H = 25,001 - 50,000 creditors
				I = 50,001 - 100,000 creditors
				J = Over 100,000 creditors
15	Estimated assets	y	1	A – J where:
				A = \$0 to \$50,000
				B = \$50,001 to \$100,000
				C = \$100,001 to \$500,000
				D = \$500,001 to \$1 million
				E = \$1,000,001 to \$10 million
				F = \$10,000,001 to \$50 million
				G = \$50,000,001 to \$100 million
				H = \$100,000,001 to \$500 million
				I = \$500,000,001 to \$1 billion
				J = More than \$1 billion
16	Estimated liabilities	y	1	A – J where:
				A = \$0 to \$50,000
				B = \$50,001 to \$100,000
				C = \$100,001 to \$500,000
				D = \$500,001 to \$1 million
				E = \$1,000,001 to \$10 million
				F = \$10,000,001 to \$50 million
				G = \$50,000,001 to \$100 million
				H = \$100,000,001 to \$500 million
				I = \$500,000,001 to \$1 billion
				J = More than \$1 billion
17	Small business	y	1	y, n, blank y, n Valid for Chapter 11 business cases only; blank for all other case types
				Note: Required only for chapter 11 business cases.

18	Section 1121		1	(currently ignored)
19	Missing Schedules (reserved for future use)		3	A, B, C, D, E, F, F, G, H, I, J, AJ, FA, SS, SS2 where: List the letter of the schedules which are missing. AJ = all schedules A - J FA = Statement of Financial Affairs SS = Summary of Schedules SS2 = Form 6 pg 2, Statistical Summary of Certain Liabilities
20	Certification (reserved for future use)		1	y, w, u where: y = received approved counseling box is checked on petition w = request a waiver box is checked on petition u = no box is checked on petition
21	Presumption	Note: Required only for chapter 7 voluntary individual consumer cases. y	1	y, n, blank y,n only for chapter 7 voluntary individual consumer cases; blank for all other case types Note: from Statement of Current Monthly Income and Means Test Calculation
22	Liquidated Debts (reserved for future use)		1	y, n Note: from Chapter 11 Debtor's box on front page of petition. If the non-contingent liquidated debts less than \$2 million box is checked, this field is y otherwise n
23	Main / Non-Main		1	m or n, default is m (Only valid for Chapter 15 cases)
24	Prior filing within last 8 years	y	1	y or n. If either debtor in a joint filing has prior case, record as yes (y).
25	A - Real Property		15	Null or 0.00 to 999999999999.99 (In decimal notation to two places)
26	B - Personal Property		15	Null or 0.00 to 999999999999.99
27	D - Creditors Holding Secured Priority Claims		15	Null or 0.00 to 999999999999.99
28	E - Creditors Holding Unsecured Priority Claims		15	Null or 0.00 to 999999999999.99
29	F - Creditors Holding Unsecured Nonpriority Claims		15	Null or 0.00 to 999999999999.99
30	Average Income		15	Null or 0.00 to 999999999999.99 From Form

				6, Statistical Summary, Average Income (from Schedule I, Line 16)
31	Average Expenses		15	Null or 0.00 to 999999999999.99 From Form 6, Statistical Summary, Average Expenses (from Schedule J, Line 18)
32	Current Monthly Income		15	Null or 0.00 to 999999999999.99 From Form 6, Statistical Summary, Current Monthly Income (from Form 22A Line 12; OR, Form 22B Line 11; OR, Form 22C Line 20)
33	Total Type of Liability		15	Null or 0.00 to 999999999999.99 From Form 6, Statistical Summary, Total Type of Liability (Generally non-dischargeable Debt 28 USC 159)
34	Prepackaged		1	Null, Y, P or B
				Y, 'If business debt, is a plan filed?' is checked
				P, Acceptances of the plan prior to the petition filing under section 1126(b) is checked
				B, if both above are checked
				Null, if none above are checked
				Note: this is only valid for chapter 11 cases
Debtor Record				
1	Record type	y	4	debt
2	Party role	y	3	Vendors should use "db" for the first record and "jdb" for the second record. Internally, the party role will be set to "db" for the first record, and the value of JointDebtorRole or "jdb" for the second record. See party role in the alias record.
3	First name	**	20	If this is required, warning will be given when value is blank; If field 5 (Form of organization) is not equal to i (individual), then a blank value will be accepted.
4	Middle name		25	
5	Last name	**	200	
6	Title		20	
7	Generation		5	

				Must be in nnn-nn-nnnn format. If configured by the court to be required, warning will be given when value is blank; If field 5 (Form of organization) is not equal to i (individual), then a blank value will be accepted.
8	SSN/ITIN	**	11	
9	Tax ID/EIN	**	15	If configured by the court to be required, warning will be given when value is blank; If field 5 (Form of organization) is equal to i (individual), then a blank value will be accepted..
10	Office code	y	1	a code from the "office" codes table
11	Address 1	**	40	
12	Address 2		40	
13	Address 3		40	
14	City	**	24	
15	State	**	2	
16	Zip	**	15	
17	County	y	5	a code from the "county" codes table
18	Country		40	
19	Phone number		25	
20	Pro Se		N/A	(ignored)
21	Party text		255	
Alias Record (one per alias)				
1	Record type	y	4	alias Note: Even thou alias is not spelled correctly, this is the string of characters that the program recognizes.
2	Party role	y	3	Accepts any value that matches the party role field of one of the debtor records.
3	Alias type	y	6	a code from the "akatype" codes table
4	First name		20	
5	Middle name		25	
6	Last name	**	200	
7	Title		20	
8	Generation		5	

NOTE: ** means that the court CM/ECF configuration determines if these fields are required.

NOTE: Release 3.1 checks the number of fields in the Statistics Record. If the number of fields is not equal to 34, then the following error message is displayed:

"The number of fields in the Statistics Record does not match the number required for version 3.1 of CaseUpload used by this court."

NOTE: Release 3.1.6 checks the allowable field lengths against the data provided in all three Record Types. If the length of any field is not less than or equal to the allowable value, then the following error message is displayed:

“The following required fields are too long from the [Stat/Debt/Alias] record: [list of field names]”

Sample Data Records

Statistics Record

stat|none||4/10/2005|i|||7|p|c|v||n|F|E|A||||n|||y|999999999999.99|169.48|3426789
125.25|125.22|125.25|125.25|125.25|125.25|543873.34|

Debtor Record (one record per debtor)

debt|db|James|William|Common|||999-92-9995|||602 S. Raspberry
Street|||Escondido|CA|92025|06073|||n||

Debtor Record (one record per debtor)

debt|jdb|Julia|Alltoo|Common|||999-55-9996|||602 S. Raspberry
Street|||Escondido|CA|92025|06073|||n||

Alias Record (one per alias)

alias|jdb|aka|Julia|Anne|Alltoo|||

Alias Record (one per alias)

alias|jdb|fka|Julia|A.|Something|||